

EBA BS 2021 613 Annex 1

16 September 2021

EBA – Regular Use

List of written procedures launched between 09 June 2021 and 16 September 2021 and their voting results

Item	Name	Type of action	Date of approval
1	Minutes of the BoS conference call on 27 April 2021	Approval, single majority	11 June 2021
2	EBA Opinion on macroprudential measure in accordance with Art 458 CRR EE	Approval, single majority	16 June 2021
3	Joint public statement on USD LIBOR	Approval, single majority	18 June 2021
4	Final draft ITS on supervisory disclosure for investment firms' competent authorities	Approval, qualified majority and double simple majority	21 June 2021

Voting results:

BoS Voting Member/Alternate	Excluded	Endorse	Do not endorse	Abstain	NO VIEWS EXPRESSED*
AT - Michael Hysek		x			
BE - Jo Swyngedouw		x			
BG - Stoyan Manolov					x
CY - Constantinos Trikoupis					x
CZ - Zuzana Silberová		x			
DE - Peter Lutz		x			
DK - Jesper Berg		x			
EE - Andres Kurgpõld		x			
EL - Heather Gibson		x			
ES - Ángel Estrada					x
FI - Jyri Helenius		x			
FR - Dominique Laboureix		x			
HU - Csaba Kandrács		x			
HR - Sanja Petrinčić Turković		x			
IE - Gerry Cross		x			
IT - Andrea Pilati		x			
LT - Marius Jurgilas		x			
LU - Claude Wampach		x			
LV - Santa Purgaile		x			
MT - Pierre Paul Gauci		x			
NL - Maarten Gelderman		x			
PL - Kamil Liberadzki		x			
PT - Ana Paula Serra		x			
RO - Adrian Cosmescu		x			
SE - Karin Lundberg		x			
SI - Primož Dolenc		x			
SK - Vladimír Dvořáček					x
Summary of votes cast		23	0	0	4
Non-significant vote	0	27	0	0	-
Results with regard to DSMV*					
% of EU Population (at least 65%)	ACHIEVED				
Number of members voting YES (at least 55%)	ACHIEVED				
Blocking minority (at least 4)	n/a				
Results with regard to QMV	QMV ACHIEVED				
Final Result (draft adopted/rejected)					

Item	Name	Type of action	Date of approval
5	Guidelines in the area of governance and remuneration	Approval, qualified majority and double simple majority	21 June 2021
6	Final Report on draft RTS and ITS on information exchange for investment firms operating through branches and freedom to provide services	Approval, qualified majority and double simple majority	25 June 2021

Voting results:

BoS Voting Member/Alternate	Excluded	Endorse	Do not endorse	Abstain	NO VIEWS EXPRESSED*
AT - Michael Hysek		x			
BE - Jo Swyngedouw		x			
BG - Stoyan Manolov					x
CY - Constantinos Trikoupis		x			
CZ - Zuzana Silberová		x			
DE - Peter Lutz		x			
DK - Jesper Berg					x
EE - Andres Kurgpõld		x			
EL - Spyridoula Papagiannidou					x
ES - Ángel Estrada		x			
FI - Jyri Helenius					x
FR - Dominique Laboureix		x			
HU - Csaba Kandrás		x			
HR - Sanja Petrinić Turković		x			
IE - Gerry Cross		x			
IT - Andrea Pilati					x
LT - Marius Jurgilas		x			
LU - Claude Wampach		x			
LV - Santa Purgaile		x			
MT - Pierre Paul Gauci		x			
NL - Maarten Gelderman					x
PL - Kamil Liberadzki		x			
PT - Ana Paula Serra		x			
RO - Adrian Cosmescu		x			
SE - Karin Lundberg		x			
SI - Primož Dolenc					x
SK - Vladimír Dvořáček					x
Summary of votes cast		19	0	0	8
Non-significant vote	0	27	0	0	-
Results with regard to DSMV*					
% of EU Population (at least 65%)	ACHIEVED				
Number of members voting YES (at least 55%)	ACHIEVED				
Blocking minority (at least 4)	n/a				
Results with regard to QMV	QMV ACHIEVED				
Final Result (draft adopted/rejected)					

Item	Name	Type of action	Date of approval
7	Final Report on draft RTS for colleges of supervisors for investment firms' groups	Approval, qualified majority and double simple majority	25 June 2021

Voting results:

BoS Voting Member/Alternate	Excluded	Endorse	Do not endorse	Abstain	NO VIEWS EXPRESSED*
AT - Michael Hysek		x			
BE - Jo Swyngedouw		x			
BG - Stoyan Manolov					x
CY - Constantinos Trikoupis		x			
CZ - Zuzana Silberová		x			
DE - Peter Lutz		x			
DK - Jesper Berg					x
EE - Andres Kurgpöld		x			
EL - Spyridoula Papagiannidou					x
ES - Ángel Estrada		x			
FI - Jyri Helenius					x
FR - Dominique Laboureix		x			
HU - Csaba Kandrás		x			
HR - Sanja Petrinčić Turković		x			
IE - Gerry Cross		x			
IT - Andrea Pilati					x
LT - Marius Jurgilas		x			
LU - Claude Wampach		x			
LV - Santa Purgaile		x			
MT - Pierre Paul Gauci		x			
NL - Maarten Gelderman		x			
PL - Kamil Liberadzki		x			
PT - Ana Paula Serra		x			
RO - Adrian Cosmescu		x			
SE - Karin Lundberg		x			
SI - Primož Dolenc		x			
SK - Vladimír Dvořáček					x
Summary of votes cast		21	0	0	6
Non-significant vote	0	27	0	0	-
Results with regard to DSMV*					
% of EU Population (at least 65%)	ACHIEVED				
Number of members voting YES (at least 55%)	ACHIEVED				
Blocking minority (at least 4)	n/a				
Results with regard to QMV	QMV ACHIEVED				
Final Result (draft adopted/rejected)					

Item	Name	Type of action	Date of approval
8	Revised EBA Decision on the quality of unsolicited credit assessments	Approval, simple majority	25 June 2021
9	Draft Opinion on the adoption of the EBA 2020 Annual Accounts	Approval, simple majority	28 June 2021
10	Draft Opinion on no action regarding Article 8a of the CRD	Approval, single majority	29 June 2021

Item	Name	Type of action	Date of approval
11	EBA Reporting framework v 3.1 - Phase 2 (technical package)	Approval, simple majority	02 July 2021
12	Composition and Terms of Reference of Peer Review on NPEs	Approval, simple majority	05 July 2021
13	Final Guidelines on use of data inputs in the IMA	Approval, qualified majority and double simple majority	05 July 2021
14	Minutes of the joint BoS-BSG conference call 28 April 2021	Approval, simple majority	06 July 2021
15	Slide pack on the results of the survey on the treatment of client funds under DGSD	Approval, simple majority	15 July 2021
16	EBA methodological guide on mystery shopping	Approval, simple majority	19 July 2021
17	Decision on MREL/TLAC data collection	Approval, simple majority	19 July 2021
18	Final Guidelines on the monitoring of IPU threshold	Approval, qualified majority and double simple majority	22 July 2021
19	Decision on Supervisory Reporting by Competent Authorities to the EBA	Approval, simple majority	27 July 2021
20	Final draft ITS amending the ITS on Resolution Planning Reporting in the context of the BRRD2	Approval, simple majority	28 July 2021

Voting results:

	BoS Voting Member/Alternate	no objection	objection	Abstention	NO VIEWS EXPRESSED*
1	AT - Michael Hysek	x			
2	BE - Jo Swyngedouw	x			
3	BG - Stoyan Manolov				x
4	CY - Constantinos Trikoupis				x
5	CZ - Zuzana Silberová	x			
6	DE - Peter Lutz	x			
7	DK - Jesper Berg	x			
8	EE - Kilvar Kessler	x			
9	EL - Heather Gibson	x			
10	ES - Ángel Estrada	x			
11	FI - Jyri Helenius	x			
12	FR - Dominique Laboureix				x
13	HU - Csaba Kandrács	x			
14	HR - Sanja Petrinić Turković	x			
15	IE - Gerry Cross	x			
16	IT - Andrea Pilati	x			
17	LT - Marius Jurgilas	x			
18	LU - Claude Wampach	x			
19	LV - Santa Purgaile				x
20	MT - Christopher Buttigieg				x
21	NL - Maarten Gelderman				x
22	PL - Artur Ratasiewicz				x
23	PT - Ana Paula Serra				x
24	RO - Adrian Cosmescu	x			
25	SE - Karin Lundberg	x			
26	SI - Primož Dolenc	x			
27	SK - Vladimír Dvořáček				x
	Summary of votes cast	18	0	0	9
	Results with regard to no objection procedure (no views expressed are counted as in favour)*	27			
	Final Result (draft adopted/rejected)	ADOPTED			

Item	Name	Type of action	Date of approval
21	Joint ESAs Final Report with RTS on taxonomy-related product disclosures under SFDR	Approval, qualified majority and double simple majority	29 July 2021

Voting results:

BoS Voting Member/Alternate	Excluded	Endorse	Do not endorse	Abstain	NO VIEWS EXPRESSED*
AT - Michael Hysek				x	
BE - Jo Swyngedouw		x			
BG - Radoslav Milenkov		x			
CY - Constantinos Trikoupis					x
CZ - Marcela Gronychová				x	
DE - Raimund Roeseler		x			
DK - Jesper Berg		x			
EE - Kivvar Kessler		x			
EL - Heather Gibson		x			
ES - Ángel Estrada			x		
FI - Jyri Helenius		x			
FR - Dominique Laboureix		x			
HU - Csaba Kandrás		x			
HR - Sanja Petrinčić Turković				x	
IE - Gerry Cross		x			
IT - Andrea Pilati				x	
LT - Marius Jurgilas		x			
LU - Claude Wampach		x			
LV - Santa Purgaile		x			
MT - Pierre Paul Gauci		x			
NL - Maarten Gelderman		x			
PL - Kamil Liberadzki				x	
PT - Ana Paula Serra		x			
RO - Adrian Cosmescu		x			
SE - Karin Lundberg		x			
SI - Primoz Dolenc					x
SK - Vladimír Dvořáček		x			
Summary of votes cast		19	1	5	2
Non-significant vote	0	21	1	5	-
Results with regard to DSMV*					
% of EU Population (at least 65%)	NOT ACHIEVED				
Number of members voting YES (at least 55%)	ACHIEVED				
Blocking minority (at least 4)	YES				
Results with regard to QMV	QMV NOT ACHIEVED				
Final Result (draft adopted/rejected)					

Item	Name	Type of action	Date of approval
22	Draft report on 2021 EU-wide stress test	Approval, simple majority	29 July 2021
23	Final Peer Review on ESAs Guidelines on Qualifying Holdings	Approval, simple majority	06 August 2021
24	Resolution Colleges Annual Report 2020	Approval, single majority	11 August 2021
25	Joint Committee's draft Autumn 2021 Report on Risk and Vulnerabilities in the EU Financial System	Approval, single majority	13 August 2021

Item	Name	Type of action	Date of approval
26	Minutes of the BoS conference call 9 June	Approval, simple majority	25 August 2021
27	Report on Funding Plans 2021	Approval, simple majority	01 September 2021
28	Draft Final report on the revised Guidelines on the stress testing of the DGS	Approval, qualified majority and double simple majority	07 September 2021
29	Draft thematic report on digital platforms	Approval, simple majority	08 September 2021
30	Final Guidelines on breaches of large exposures limits	Approval, qualified majority and double simple majority	09 September 2021
31	EBA Opinion of the 2019 Discharge Report of the EP	Approval, qualified majority and double simple majority	16 September 2021