



Specific Privacy Notice – Survey: Pillar 3 disclosures on ESG risks under Article 449a CRR

We process your personal data based on Regulation (EU) 2018/1725 of the European Parliament and of the Council on the protection of individuals with regard to the processing of personal data by the Union institutions and bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC (hereinafter “the EUDPR”). We provide you with the information that follows based on Articles 15 and 16 of the EUDPR.

Who is the controller and processor?

The controller responsible for processing your data is the European Banking Authority, represented by its Executive Director, or acting Executive Director, who may delegate the function of the controller. You may contact the controller using the following e-mail address: ESG.disclosure@eba.europa.eu or RLMT@eba.europa.eu.

What personal data do we process and who can access it?

Personal data we process

For the purpose of the survey supporting the implementation of ESG Pillar 3 disclosure requirements in accordance with the mandate of Article 434a and 449a of Regulation (EU) No 575/2013 as amended by Regulation (EU) 2019/876 (CRR) (hereinafter: survey on ESG Pillar 3 disclosure) the EBA staff (or through a processor) processes the following personal data:

- Contact details (name, position, telephone number, email address) of the persons identified as contact persons in the responses to the EBA survey.

Who can access it?

Dedicated EBA staff members working on the survey on ESG Pillar 3 disclosure and analysing the responses to the survey. Members of staff of the competent authorities responsible for the prudential supervision in the EEA jurisdictions participating in the work on ESG Pillar 3 disclosure.

For what purpose do we process your personal data?

The purpose of processing of your personal data is to identify employees of credit institutions responding to the EBA survey for the purposes of possible follow up contacts to clarify the responses.

What is the legal basis for processing your personal data?

Processing of your personal data is necessary for the performance of a task carried out in the public interest and in the exercise of official authority vested with the EBA. Those tasks are stipulated in Article 1(3) and point (c) of Article 29(1) of the Regulation (EU) No 1093/2010 and Articles 434a and 449a of Regulation (EU) No 575/2013 as amended by Regulation (EU) 2019/876.

Personal data of contact persons in the credit institutions are obtained from their responses to the EBA survey. Participation in the data gathering exercise via the survey is voluntary. Failure to provide personal data in the responses to the survey would limit the EBA ability to contact the respondents for any possible follow up on the answers to the survey.

Where did we get your personal data?

Personal data of contact persons in the credit institutions are obtained from their responses to the EBA survey.

How long do we keep your personal data?

Your personal data will be kept for a period of one year following the finalisation of the data collection exercise within the framework of the survey on ESG Pillar 3 disclosure, i.e. one year after the deadline to submit responses for the survey. The personal data will be deleted after this period. The responses to the survey will be maintained.

What are your rights regarding your personal data?

You have the right of access to your personal data and to relevant information concerning how we use it. You have the right to rectify your personal data. Under certain conditions, you have the right to ask that we delete your personal data or restrict its use. You have the right to object to our processing of your personal data, on grounds relating to your particular situation, at any time. We will consider your request, take a decision and communicate it to you.

You can send your request by post in a sealed envelope or via email (see section on contact details below).

You have the right to lodge a complaint.

If you have any remarks or complaints regarding the way we process your personal data, we invite you to contact the DPO of the EBA (see section on contact details below).

You have, in any case, the right to lodge a complaint with the EDPS as a supervisory authority:

https://edps.europa.eu/data-protection/our-role-supervisor/complaints_en.

Contact details for enquiries regarding your personal data

Should you wish to contact the EBA, we encourage you to do so by email: (provide functional email of the unit that is in charge of the processing of the personal data) by stating in the subject “**Data Protection Enquiry**”.

If you wish to contact the DPO of the EBA personally, you can send an e-mail to dpo@eba.europa.eu or a letter to the postal address of the EBA marked for the attention of the DPO of the EBA.

The postal address of the EBA is:

DEFENSE 4 – EUROPLAZA
20 Avenue André Prothin
CS 30154
92927 Paris La Défense CEDEX

You can also find contact information on the EBA’s website: <https://eba.europa.eu/contacts>