

EBA Workshop

“The application of the principle of proportionality in the context of Institutional and Regulatory Reforms”

Friday 3 July 2015

8.00-9.00	Welcome and registration
9.00-9.30	<p>Opening Remarks</p> <p>Andrea Enria, <i>Chairman of the EBA</i></p>
9.30-11.15	<p><u>Session 1. A focus on the single rulebook: Proportionality versus simplicity</u></p> <p>Speakers:</p> <p>Prof. Elis Ferran, <i>Professor of Company and Securities Law; JM Keynes University Fellow in Financial Economics, University of Cambridge</i></p> <p>Joerg Ortgies, <i>Director of Banking Supervision and Accounting, Association of German Banks</i></p> <p>Panel discussion:</p> <p>Giacomo Caviglia, <i>Head of Division Supervisory Oversight & NCA Relations, Directorate General Micro- Prudential Supervision III, SSM</i></p> <p>Laurent Lascols, <i>Head of Group Public Affairs, Société Generale</i></p> <p>Eric Leenders, <i>Executive Director, Retail and Private Banking, British Bankers Association (BBA)</i></p> <p>Prof. Elis Ferran, <i>Professor of Company and Securities Law; JM Keynes University Fellow in Financial Economics, University of Cambridge</i></p> <p>Joerg Ortgies, <i>Director of Banking Supervision and Accounting, Association of German Banks</i></p> <p><u>Moderator:</u> Isabelle Vaillant, <i>EBA Director</i></p>

11.15-12.00	<p>Session 2. Spotlight on current workstreams on proportionality and simplification</p> <p>Speakers: Uldis Cerps, <i>Executive Director, Banks, Swedish Financial Supervisory Authority</i></p> <p>Nina Dietz Legind, <i>Professor, Vice-Dean for Research, University of Southern Denmark, Member of the BSG</i></p>
12.00-12.15	<p>Morning Wrap Up:</p> <p>Erik van der Plaats, <i>Senior Expert, European Commission</i></p>
12.15-13.15	<p>Lunch</p>
13.15-15.00	<p>Session 3. Spotlight on aspects of the rulebook: Approaches to delivering proportionality in practice, are we using the right methods and what evidence base is needed</p> <p>Simultaneous presentations and panel discussion addressing the following three topics followed by an audience Q&A</p> <p><u>Breakout Session 3.1. Bank recovery and resolution</u></p> <p>Speakers: Emanuele Spina, <i>Head of EU Affairs, BCC Federcasse</i> Andrew Gracie, <i>Executive Director for Resolution, Bank of England</i></p> <p>Panel discussion: Prof. Matthias Haentjens, <i>Professor of Financial Law at Leiden University</i> Johannes Re hulka, <i>Managing Director of the Association of Austrian Raiffeisen Banks</i> Jens Verner Andersen, <i>Deputy Director, Finansiel Stabilitet, DK</i> Emanuele Spina, <i>Head of EU Affairs, BCC Federcasse</i> Roberto Di Salvo, <i>Managing Director of Deposit Guarantee Scheme, BCC Federcasse</i> Andrew Gracie, <i>Executive Director for Resolution, Bank of England</i> <u>Moderator:</u> Stefano Cappiello, <i>Single Resolution Board</i></p>

Breakout Session 3.2. Governance

Speakers:

Lutgart van Den Berghe, *CEO of GUBERNA and extra-ordinary professor at the Vlerick Business School, European Confederation of Directors Associations (EcoDa)*

Germán López Espinosa, *University of Navarra*

Panel Discussion:

Marijke Declerck, *Policy Officer, Company Law, DG Justice, European Commission*

Miguel Garcia de Eulate, *Head of Treasury and Capital Markets Department, Caja Rural de Navarra, European Association of Cooperative Banks (EACB)*

Dr Karl-Peter Schackmann-Fallis, *Executive Member of the Board, Deutscher Sparkassen- und Giroverband (DSGV), European Savings Banks Group (ESBG)*

Lutgart van Den Berghe, *CEO of GUBERNA and extra-ordinary professor at the Vlerick Business School, EcoDa*

Germán López Espinosa, *University of Navarra*

Moderator: Bernd Rummel, *EBA*

Breakout Session 3.3. Size, systemicness and business models

Speakers:

Etienne de Bridiers, *Head of Section, Micro- Prudential Supervision IV, SSM*

Erich Loeper, *Head of the Department Banking and Financial Supervision, Deutsche Bundesbank*

Panel discussion:

Fabio Piamonte, *Senior Economist-Statistician, ESRB*

Frenk van der Vliet, *Member of the NWB Bank Managing Board Treasury & Public Finance, European Association of Public Banks (EAPB)*

Dr Astrid Kratschmann, *European Federation of Building Societies (EFBS)*

Matt Holmes, *Managing Director Government and Regulatory Affairs, Deutsche Bank*

Etienne de Bridiers, *Head of Section, Micro- Prudential Supervision IV, SSM*

	<p>Erich Loeper, <i>Head of Department Banking and Financial Supervision, Deutsche Bundesbank</i></p> <p><i>Moderator: Dr Rym Ayadi, Professor International Financial Systems, and Director of the International Institute on Cooperatives (IIC) and the International Research Centre on Cooperative Finance (IRCCF), HEC Montreal</i></p>
15.00-15.30	Coffee Break
15.30-16.45	<p>Session 4. A focus on demonstrating the case for proportionality</p> <p>Speakers:</p> <p>Dr Christian Burmester, <i>CRO of Sparkasse Aachen, European Savings Banks Group (ESBG)</i></p> <p>Volker Heegemann, <i>Head of Unit at the European Association of Cooperative Banks (EACB) in charge of banking legislation, company law/corporate governance and accounting</i></p> <p>Panel discussion:</p> <p>Alan N Smith, <i>Global Head of Risk Strategy & Senior Executive Officer of Group Risk, HSBC Holdings</i></p> <p>Dr Holger Mielk, <i>Head of the Legal Department, BVR</i></p> <p>Robin Fieth, <i>Chief Executive, Building Societies Association</i></p> <p>Dr Christian Burmester, <i>CRO of Sparkasse Aachen, ESBG</i></p> <p>Volker Heegemann, <i>Head of Unit at the EACB in charge of banking legislation, company law/corporate governance and accounting</i></p> <p><i>Moderator: Prof. Giovanni Ferri, Professor of Economics, LUMSA University of Rome</i></p>
16.45-17.00	<p>Closing remarks</p> <p>Adam Farkas, <i>Executive Director of the EBA</i></p>